9 pu 2812

MEMC 2987 (00-2950) PATENT

2812

Art Unit:

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ng, et al.

Serial No.: 09/970,404 Filed: October 3, 2001 Confirmation No.: 9398

For: APPARATUS AND PROCESS FOR PRODUCING POLISHED

SEMICONDUCTOR WAFERS

December 12, 2002

TO THE COMMISSIONER FOR PATENTS,

SIR:

20

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

I certify that each item contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. A copy of one reference cited in the foreign search report, European Patent Application Number EP 0868977 A2, is not being submitted herewith because the reference has already been made of record as reference number 4 in the Information Disclosure Statement dated January 3, 2002

Respectfully submitted,

Michael G. Munsell, Reg. No. 43,820 SENNIGER, POWERS, LEAVITT & ROEDEL One Metropolitan Square, 16th Floor

St. Louis, Missouri 63102

(314) 231-5400

MGM/clh

Express Mail Label No. EV 260202938 US

RECEIVEL DEC 2 3 2002

PTO/SB	/08A		2000	Complete if Known		
	SUPPLE	MEN	DEC 1 2 2002 AL SUPSURE 15 PLICANT	Application Number	09/970,404	
11	FORMATION	DISC	SURE TO	Filing Date	October 3, 2001	
3	TATEMENTO	IAF	PLICANT	Confirmation Number	9398	
(use	e as many shee	ets as	necessary)	First Named Inventor	Ng, et al.	
				Group Art Unit	2812	
				Examiner Name	Gregory E. Webb	
Sheet	1	of	1	Attorney Docket No.	MEMC 2987 (00-2950)	

			U	.S. PATEN	T DOCUMENTS		
Examiner Initials*		U.S. Patent Document					
	Cite No. ¹		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	
	7	5,605,487			Hileman et al.	02-25-1997	
			FOR	EIGN PATE	ENT DOCUMENTS		
		Foreign Patent Document					
Examiner Initials*	Cite No.1	Office	Number⁴	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
	8	wo	01/60567	A1	MEMC Electronic Materials, Inc.	08-23-2001	
		ОТН	IER ART - N	ON PATEN	T LITERATURE DOCUMEN	TS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	9	International Search Report from the European Patent Office mailed November 29, 2002					

		78 4
Examiner Signature	Date Considered	87 13

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

^{&#}x27;Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..